

PTO/SB/21 (04-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/820,994
Filing Date	April 8, 2004
First Named Inventor	Tatsuo KATAOKA
Art Unit	1753
Examiner Name	Arun S. Phasge
Attorney Docket Number	1217-043819
Total Number of Pages in This Submission	

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kent E. Baldauf Webb Ziesenheim Logsdon Orkin & Hanson, P.C.
Signature	<i>Kent E. Baldauf</i>
Date	March 23, 2005

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Diane Paull		
Signature	<i>Diane Paull</i>	Date	3/23/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appl. No. 10/820,994  
Information Disclosure Statement dated 3-23-2005  
Attorney Docket No. 1217-043819



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/820,994 Confirmation No. 9043  
Applicants : Tatsuo KATAOKA et al.  
Filed : April 8, 2004  
Title : Plating-Pretreating Solution and Plating-Pretreatment Method  
Group Art Unit : 1753  
Examiner : Arun S. Phasge  
Customer No. : 28289

MAIL STOP AMENDMENT  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08A and a copy of the English-language Abstract cited thereon.

The reference listed on Form PTO/SB/08A is cited in a Chinese Patent Office Office Action dated January 28, 2005 in a counterpart Chinese application. Copies of the Chinese Office Action and an English-language translation thereof are enclosed, along with a Certification Under 37 C.F.R. §1.97(e)(1).

No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before the issuance of a first Office Action on the merits and within three months of the date of the Chinese Office Action and accompanied by a Certification under 37 C.F.R. §1.97(e)(1). Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

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Diane Paull

(Name of Person Mailing Document)

Diane Paull 03/23/2005  
Signature Date

By Kent E. Baldauf  
Kent E. Baldauf  
Registration No. 25,826  
Attorney for Applicants  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219-1818  
Telephone: 412-471-8815  
Facsimile: 412-471-4094

Appl. No. 10/820,994  
Information Disclosure Statement dated 3-23-05  
Attorney Docket No. 1217-043819

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit : 1753  
Examiner : Arun S. Phasge  
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CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1)

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

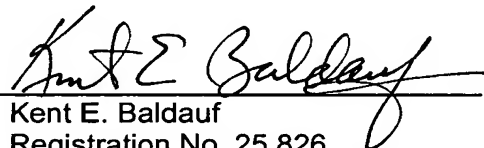
Sir:

I, the undersigned, hereby certify that the reference cited in the accompanying Information Disclosure Statement was first cited in a communication mailed 28 January 2005 from the Chinese Patent Office in a counterpart Chinese application not more than three months prior to the filing of the accompanying Information Disclosure Statement.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

By

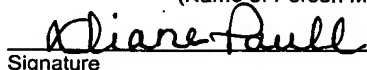


Kent E. Baldauf  
Registration No. 25,826  
700 Koppers Building  
736 Seventh Avenue  
Pittsburgh, PA 15219-1818  
Attorney for Applicants  
Telephone: (412) 471-8815  
Facsimile: (412) 471-4094

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Diane Paul

(Name of Person Mailing Paper)



Signature

03/23/2005  
Date

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Substitute for form 1449A/PTO				<div>Complete if Known</div> <div>Application Number 10/820,994</div> <div>Filing Date April 8, 2004</div> <div>First Named Inventor Tatsuo KATAOKA</div> <div>Group Art Unit 1753</div> <div>Examiner Name Arun S. Phasge</div> <div>Attorney Docket Number 1217-043819</div>	
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>					
Sheet	1	of	1		

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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